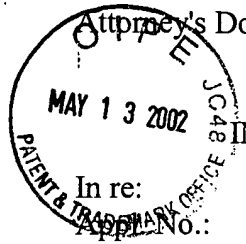


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Attorney's Docket No. 38866/204611

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: R. Raymond May  
App. No.: 09/680,365  
Filed: October 5, 2000  
For: SYSTEMS FOR SWITCH AUCTIONS UTILIZING RISK POSITION  
PORTFOLIOS OF A PLURALITY OF TRADERS

Group Art Unit: 2164  
Examiner: Coles, S.

May 7, 2002

Commissioner for Patents  
Washington, DC 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

William R. Silverio  
Registration No. 45,383

<p><b>Customer No. 00826</b> <b>ALSTON &amp; BIRD LLP</b> Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Atlanta Office (404) 881-7000 Fax Atlanta Office (404) 881-7777</p>	<p><u>CERTIFICATE OF MAILING</u></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, on May 7, 2002.</p> Laisha Richardson
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